

FORM PTO-1390 MODIFIED	U.S. DEPARTMENT OF COMMERCE	INTENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 829-629
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>10/511427</b> to be assigned	
INTERNATIONAL APPLICATION NO. PCT/JP03/04727	INTERNATIONAL FILING DATE 14 April 2003	PRIORITY DATE CLAIMED 16 April 2002	
TITLE OF INVENTION <u>SUBSTRATE, LIQUID CRYSTAL DISPLAY DEVICE WITH THE SAME, AND MANUFACTURING METHOD THEREOF</u>			
APPLICANT(S) FOR DO/EO/US : <u>KOKURA et al.</u>			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The U.S. has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <ol style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto (        pages specification, claims &amp; abstract (        claims),        sheets drawings).</li> <li><input type="checkbox"/> has been communicated by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> <p>6. <input checked="" type="checkbox"/> An English language translation</p> <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (36 pages specification, claims &amp; abstract (15 claims), 8 sheets drawings,        page Certificate of Translation).</li> <li><input type="checkbox"/> of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).</li> </ol> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <ol style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li><input type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. a. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>b. <input type="checkbox"/> Declaration was submitted to the International Bureau during International Phase (see copies of Declaration (        page Form PCT/RO/101 and Form PCT/B/371 and first page of printed publication acknowledging receipt thereof attached).</p> <p>10. See item 6.b. above.</p> <p>Items 11 To 20 below concern document(s) or information included:</p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information. Cover page of the International Application, International Preliminary Examination Report, International Search Report and English translation thereof, PCT/RO/308, English Translation of Article 34 Amendment</p>			

## BEST AVAILABLE COPY

Mail Stop PC

DT05 Rec'd PCT/PTO 15 OCT 2004

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>1075 1427</b>	INTERNATIONAL APPLICATION NO. PCT/JP03/04727	ATTORNEY'S DOCKET NUMBER 829-629																
21. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY																
<b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b> -- Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1110.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$950.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO ..... \$790.00 -- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$750.00 -- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00																		
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$ 950.00																
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e))		\$ 130.00																
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>11</td> <td>minus 20 =</td> <td>0 X \$18.00 \$ 0.00</td> </tr> <tr> <td>Independent Claims</td> <td>2</td> <td>minus 3 =</td> <td>0 X \$88.00 \$ 0.00</td> </tr> <tr> <td colspan="2"><b>MULTIPLE DEPENDENT CLAIMS(S) (if applicable)</b></td> <td></td> <td>\$300.00 \$ 0.00</td> </tr> </tbody> </table>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total Claims	11	minus 20 =	0 X \$18.00 \$ 0.00	Independent Claims	2	minus 3 =	0 X \$88.00 \$ 0.00	<b>MULTIPLE DEPENDENT CLAIMS(S) (if applicable)</b>			\$300.00 \$ 0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE															
Total Claims	11	minus 20 =	0 X \$18.00 \$ 0.00															
Independent Claims	2	minus 3 =	0 X \$88.00 \$ 0.00															
<b>MULTIPLE DEPENDENT CLAIMS(S) (if applicable)</b>			\$300.00 \$ 0.00															
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month : \$430.00/2 months; \$980.00/3 months)		\$ 0.00																
<b>TOT</b>		<b>OF ABOVE CALCULATIONS =</b> \$ 1080.00																
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		0.00																
		<b>SUBTOTAL =</b> \$ 1080.00																
Processing fee of \$130.00, for furnishing the English Translation later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).		0.00																
		<b>TOTAL NATIONAL FEE =</b> \$ 1080.00																
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31).		40.00 per property + \$ 0.00																
Fee for Petition to Revive Unintentionally Abandoned Application (37 C.F.R. 1.137(a))		70.00 - Small Entity = \$685.00 \$ 0.00																
		<b>TOTAL FEES ENCLOSED =</b> \$ 1080.00																
		Amount to be: refunded \$ Charged \$																

a.  A check in the amount of \$1080.00 to cover the above fees is enclosed.  
 b.  Please charge my Deposit Account No. 14-1140 in the amount of \$\_\_\_\_\_ to cover the above fees.  
 A duplicate copy of this form is enclosed.  
 c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed.  
 d.  The entire content of International Application No. PCT/JP03/04727 and any U.S. and foreign application(s) corresponding thereto, and JP 2002-113903, referred to in this application is/are hereby incorporated by reference in this application.

**NOTE:** Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

**CORRESPONDENCE ADDRESS**  
 Direct all correspondence to:

Customer Number: **23117** Place Customer Number Bar Label Here →

Type Customer Number here

Telephone: (703) 816-4000  
 HWB:Ish

H. Warren Burnam, Jr.  
 NAME  
 29,366 October 15, 2004  
 REGISTRATION NUMBER Date

890722